**INFORMED CONSENT FORM**

This informed consent is for the participants *(founder or CEO, employees and the beneficiaries*) of the social innovation who are participating in the: **SOCIAL INNOVATION IN HEALTH – *case study research exploring the role of social innovation in healthcare delivery for infectious diseases of poverty.***

Principle Investigator: <INSERT NAME> Co-Investigator: < INSERT NAME>

Name of Organizations: <INSERT>

Name of Sponsor: Special Programme for Research and Training in Tropical Disease, World Health Organization

**PART 1 - INFORMATION SHEET**

**Introduction**

I am………………………………………working at the University of……………………. This research is collaboration between the *Bertha Centre for Social Innovation at the University of Cape Town* and the *Skoll Centre for Social Entrepreneurship at the University of Oxford*. This research is made possible through the technical and financial support of the *Special Programme for Research and Training in Tropical Disease at the World Health Organization.*

This research forms part of the **second stage of the Social Innovation in Health Initiative**. During the first stage, you/ your organisation submitted a nomination to the project team to share your work with us. Following a rigorous selection process, your project/ organisation was selected to be involved in this research.

The goals of the Social Innovation in Health Initiative is three fold:

1. To better understand how and why social innovations implemented across Africa, Asia and Latin America are having an impact;
2. To support innovators and organisations like your yourself through exposure, connections and recognition
3. To form a network of people interested in social innovation in health across Africa, Asia and Latin America.

**What is this research about?**

We believe that across the global south there are multiple social innovations that have successfully improved the care for people living with infectious diseases of poverty. A social innovation is: *A novel solution developed by a person, in response to a priority health need within context and implemented by a different organisations. This solution has enabled healthcare delivery to be more inclusive, affordable and effective.*

Your work is considered as one of these social innovations. By studying your work we want to better understand how and why social innovations, like your project/ organisation, are successful and what lessons can be learned to be shared with other countries. We further want to explore how programmes and projects such as yours have the opportunity to be scaled to benefit other countries and ultimately strengthen healthcare systems.

**Type of Research**

We will be conducting case study research on your project/ organisation. This will involve us spending 2 – 3 days with your organisation, interviewing various people and observing your work. We would like to interview people involved in the work including the founder or CEO, employees and beneficiaries eg. patients or community members. This interview will be a conversation that will help us to gain more insight from your perspective.

**Participant Selection**

From January – February 2015, we launched an open nomination call for social innovations in health ([www.healthinnovationproject.org](http://www.healthinnovationproject.org)). You/ your organisation participated in this call and submitted a nomination sharing with us about your work. We received a total of 179 nominations from across 48 countries. Following multiple rounds of review by a panel of external experts in public health, infectious diseases and innovation your project/ organisation were selected due to the valuable lessons that can learned from your work and shared with other countries and communities.

**Voluntary Participation**

Your participation in this research is entirely voluntary. It is your choice to participate or not. If you choose to participate your role at this organisation or the services you receive will not be affected.

**Procedures**

During our visit to your organisation, we would like to take time interviewing you. The interview may range at minimum from 15 – 20 minutes. Depending on your role at this organisation, it may be longer but this will be arranged with you ahead of time if it may take longer. The interview questions will be in regards to your work, the programme/ project and the organisation. The goal is for us to understand why your work is innovative and how it has been able to improve care. If you are the founder or head of the organisation we would further like to discuss your innovation journey and the lessons you have learned. The interview will be conducted in a place that is comfortable for you and where you feel at ease to share your thoughts. During the interview we will write notes to capture the information you are sharing. To ensure that we do not miss important details, we will ask your permission to record the interview. This recording will be confidential and no one else except our team will have access to it.

Further, we may ask to accompany you while you are working and to observe how you go about your daily tasks. Where possible, we would want to get involved and participate in the activities you perform. This will also help us understand how you perform your role and why it is unique and different.

**Duration**

This research will take place over the course of four months from July – October 2015. We will spend 2 – 3 days at the site of your operations. The interview length may vary depending on who we speak to and the amount of time you have available. We may contact you at a later stage if there was any information we were not able to capture.

**Risks**

We will be asking you to share your thoughts and opinions about the work you are engaged with or about the services you are receiving. Where you don’t feel comfortable to answer a specific question, you will be under no obligation to do so. You do not have to give any reason for not answering a specific question or for declining to participate. The information you share with us will not influence your role at this organisation or the services you receive.

**Benefits**

There are no direct benefits to you but your participation will help us understand the work you are doing or the services you are receiving better. From the information you share with us we will be able to derive key lessons that will be valuable to share with other countries and communities. Your organisation will receive exposure and recognition as we share about it in a publication and on our website – www.healthinnovationproject.org

**Remuneration**

You will not be provided any financial compensation or incentive to take part in this research.

**Confidentiality**

We will do our best to keep your personal information confidential. To help protect your confidentiality interviews will occur in your preferred location, your name will not be disclosed to any other party unless you provide us permission to disclose your name in the case write ups. None of your views will be directly shared with your manager or employees of the organisation or with the Ministry of Health. Your name will be removed from any documentation and all recordings will be kept safely and not shared with anyone outside the research team.

**Sharing the results**

The significant findings of this research are envisioned to be published in the first flagship publication on social innovation in health. If you have agreed for us to share your name in this case study, you will have the opportunity to read and review it before publication. We would like you to then verify any facts as well as provide your permission for publication. Further findings from this work may also be published in academic journals.

**Right to Refuse or Withdraw**

You do not have to take part in this research if you do not wish to do so, and choosing to participate will not affect your job or job-related evaluations in any way. You may stop participating in the interview at any time that you wish without your job being affected. You will give an opportunity at the end of the interview/discussion to review your remarks, and you can ask to modify or remove portions of those, if you do not agree with notes taken.

**Contact details**

If you have any questions, you can ask them now or later. If you wish to ask questions later, you may contact:

< INSERT NAME & CONTACT DETAILS>

This proposal has been reviewed and approved by < INSERT>

**PART 2: CERTIFICATE OF CONSENT**

**PERSON PROVIDING CONSENT**

I have read the foregoing information, or it has been read to me. I have had the opportunity to ask questions about it and any questions I have been asked have been answered to my satisfaction. I consent voluntarily to be a participant in this study

Print Name of Participant\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Participant \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Day/month/year

I agree / disagree for my name to be used in this case study: Agree Disagree

*If illiterate [[1]](#footnote-1)*

I have witnessed the accurate reading of the consent form to the potential participant, and the individual has had the opportunity to ask questions. I confirm that the individual has given consent freely.

Print name of witness\_\_\_\_\_\_\_\_\_\_\_\_Thumb print of participant

Signature of witness \_\_\_\_\_\_\_\_\_\_\_\_\_

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Day/month/year

**PERSON TAKING CONSENT**

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, have accurately read out the information sheet to the potential participant, and to the best of my ability made sure that the participant understands that the following will be done:

1. Interview
2. Observations of their work
3. Audio-recording of the interview

I confirm that the participant was given an opportunity to ask questions about the study, and all the questions asked by the participant have been answered correctly and to the best of my ability. I confirm that the individual has not been coerced into giving consent, and the consent has been given freely and voluntarily. A copy of this ICF has been provided to the participant.

Print Name of Researcher/person taking the consent\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Researcher /person taking the consent\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. [↑](#footnote-ref-1)